

The Alan Turing Institute

Grant Awarding Policy

Owner	Governance Officer
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1. Purpose

- 1.1 This policy sets out the principles, criteria and processes that govern how The Alan Turing Institute awards research grants in line with its charitable objectives.
- 1.2 A grant is defined as a financial award made by The Alan Turing Institute to support research in data science and artificial intelligence. The grant may also include in-kind support e.g. consultancy or office space.

2. Principles

The award of any grant will normally be governed by the following principles:

- 2.1 Applications will only be considered in response to calls published by the Institute.
- 2.2 Only applications with proven association in the specified discipline of the grant, within the UK are eligible for consideration, unless otherwise specified.
- 2.3 Applications for project funding will be considered on their own merit, regardless of any previous grant applications made to the Institute.
- 2.4 The Board of Trustees has ultimate responsibility for all grant-making decisions in line with the Institute's charitable objectives and any restrictions agreed with donors and funding partners.
- 2.5 The Board of Trustees may delegate decision-making responsibilities to the Institute Director as it deems appropriate.
- 2.6 The Institute will normally only make grants to such organisations/individuals where the proposal and outcomes have potential to achieve a substantial impact aligned with the Institute's charitable objects and scientific challenge areas.
- 2.7 The ability of the Institute to pay overheads to successful applicants will be dependent upon the source of funding applicable to the grant awarded.
- 2.8 The following will normally apply:
 - 2.8.1 Grants made from the Institute's core funds (including but not limited to fellowships, seed funding calls, Turing University Leads) will, in line with similar charities, not normally include a contribution to the applicant's employing Institution's overheads. However, wherever possible, such grants will be managed so that successful applicants are able to apply charity QR¹ to these grants;
 - 2.8.2 Grants made from programmes funded by commercial partners, research councils or other third parties that include overheads as income to the Institute will pay overheads to the successful grant applicant's host institution on the basis of the location of the direct cost incurred².
 - 2.8.3 The exact basis and value of overheads awarded by the Institute will be included within the specific grant award terms and conditions and will be agreed on a case by case basis.
- 2.9 The Board of Trustees will not normally approve/support grant applications where the following apply:
 - 2.9.1 the resulting grant is not aligned with the Institute's charitable objects or would not be charitable in its nature;

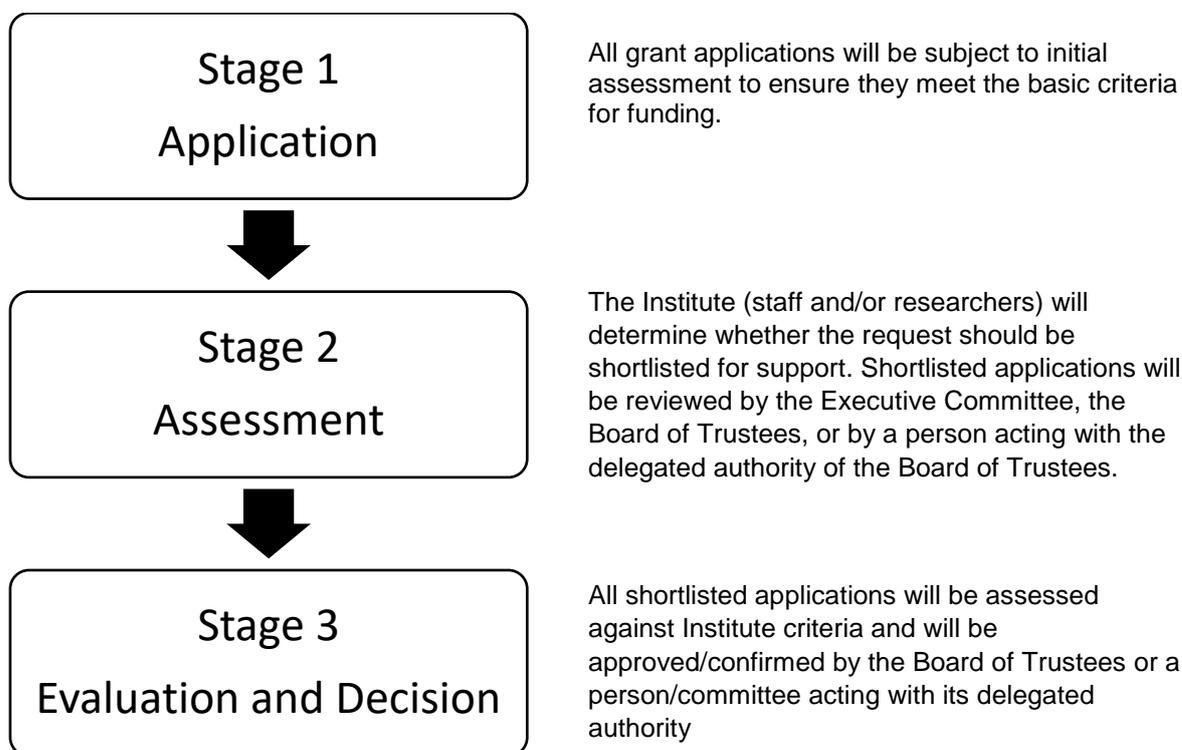
¹ Charity Research Support Fund.

² for example, for a Turing Fellow based part-time at the Institute and part time in their employing university, overheads will be shared based upon the estimated time spent in each location.

- 2.9.2 general appeals, fundraising appeals or marketing appeals;
- 2.9.3 one-off conferences or events, except where these events fall within a wider project context that aligns with our funding priorities, the impact and connections to these priorities being clearly demonstrated;
- 2.9.4 activities which appear to, or actively seek to, influence public opinion in favour of a particular political party or promote political partisanship.
- 2.9.5 The grant is likely to cause reputational damage to the Institute or one of its partners.
- 2.9.6 The applicant has not met the conditions of a previous award, including but not limited to the submission of a final report.

3. Grant making processes

3.1 Grant requests will normally go through a three-stage process as summarised below:



- 3.2 The Institute will aim to write to all applicants informing them of the outcome of their application for funding within 60 days of any applicable award submission date.
- 3.3 Applicants should note that, as with many other charitable organisations, The Alan Turing Institute always receives far more applications than it has funds to support. Even if a project fits within the criteria and priorities of the Institute and a detailed assessment has been made, the Institute may still be unable to award a grant.

- 3.4 The Board of Trustees will not normally be obliged to provide an explanation or feedback to the applicant should their application be unsuccessful.

4. Monitoring, Reporting and Publications

- 4.1 Before a grant can be confirmed, conditions will be agreed which will be appropriate to the proposed research and will, where appropriate, also be aligned with the terms and conditions of associated inbound funding received by the Institute.
- 4.2 If the grant is payable in instalments, then payment of subsequent grant instalments will be dependent on satisfactory progress having been demonstrated and the Board of Trustees reserve the right to withdraw the grant on receipt of unsatisfactory progress reports.
- 4.3 Failure to submit reports at the time specified by the Board of Trustees may also jeopardise the continuation of the Institute's support.
- 4.4 In addition to reports detailing progress, grant recipients will be expected to provide:
 - 4.4.1 A statement of how the Institute's monies have been spent; and
 - 4.4.2 Details (where appropriate) of any other funds applied to the same project.
- 4.5 Monitoring by representatives of the Institute may be expected during the period of a grant.
- 4.6 We are required to report using the mechanism determined by UK Research and Innovation (UKRI), currently Research Fish and grant holders will be required to report using this system.
- 4.7 Grant recipients may be asked to take part in publicity for the promotion of The Alan Turing Institute and its work which may include, but not restricted to the publication of the recipient's name and photograph together with publishing details of their work on the Institute's website.
- 4.8 Grant recipients should not use the Institute's name to acknowledge the funding until this has been agreed with The Alan Turing Institute.
- 4.9 The Institute's contribution should normally be acknowledged in any applicable publications and must include the following text: *This work was supported by the UK Engineering and Physical Sciences Research Council (EPSRC) grant EP/N510129/1*
- 4.10 The Institute may also expect to receive copies of any published articles, papers or other outputs which may result from the project.
- 4.11 Following the conclusion of the project, the grant recipient will (where appropriate) be expected to submit a final report, normally within 90 days of the end of the grant, detailing the full results, a financial statement, outputs and impact from the project.

5. Amendments

- 5.1 The Board of Trustees may vary the terms of this policy from time to time.