

# The Alan Turing Institute

## Turing Artificial Intelligence Fellowships Frequently Asked Questions and Guidance Notes

### Contents

Introduction	3
Eligibility	3
Who is eligible for Fellowships?	3
Is it compulsory to hold a tenure/tenure-track academic post to be eligible?	3
How should I decide whether to apply as a Fellow or Senior Fellow?	3
Are these Fellowships open to applicants from the wider AI spectrum, for example humanities and social sciences?	3
Are applications open to researchers who aren't experts in AI, but who are working in this area and would like to develop their skills?	3
Are current Turing Fellows and Turing employees eligible to apply?	3
Are post-doctoral researchers and PhD students eligible?	3
Are there nationality restrictions?	4
Can I apply for relocation and visa costs?	4
Can I undertake the Fellowship part-time?	4
Can I continue to hold another position?	4
Can applicants apply for a Turing Fellowship and a UKRI/Research Council or any other Fellowship simultaneously?	4
Timings	4
How long are the Turing AI Fellowships?	4
When do the Fellowships begin?	5
Will there be future calls and who will they be open to?	5
If applicants are unsuccessful, will they be able to reapply in future calls?	5
Host Organisations	5
Which organisations are eligible to be a host organisation?	5
Are there institutional limits in place?	5
How will host organisations select which applications they will support?/ How should I secure host organisation support?	5
What level of support is the host organisation expected to commit?	6
Host organisation letters of intent and support	6

Are there institutional limits in place?	6
Can I apply from a non-UK organisation?	7
Is the Fellowship transferable?	7
What interactions will I have with the Turing?	7
Partners and Collaborations	7
Is it mandatory to have research (industry or government/third sector) partners?	7
Will the Turing help me find suitable research partners?	7
How should I indicate to the Turing that I would like help finding suitable research partners?	8
Can I be employed by and/or based at my research partner?	8
Funding and Resources	8
How is the Fellowship funded?	8
What percentage salary can be paid from the Fellowship?	8
What is the level of funding available for each Fellowship package?	8
If I take up the Fellowship on a part-time basis how do I cost the resources?	9
Does the cap for indirect costs cover all project staff to be costed in?	9
How should costings be submitted?	9
What resources can I include as part of the Fellowship?	9
Can I budget for the cost of publication as part of the Fellowship?	10
Can I include a co-investigator on the application?	10
Is there a limit on how many post-docs/PhD students can be costed into the proposal?	10
Application process	10
How do I apply?	10
What is the deadline for applications?	11
What documentation will I be required to submit?	11
Application guidance – Outline Proposal	12
Application guidance – Full submission	12
How can I request adjustments to the application process?	12
How will diversity be monitored?	13
Assessment process	14
What is the assessment process?	14
How will my application be assessed?	14
Who will sit on panels?	14

## Introduction

This guidance and frequently asked questions document have been created to assist applicants when considering this initiative and with the completion of their proposal.

If you have any questions or experience any difficulties completing the application, please email [fellowship@turing.ac.uk](mailto:fellowship@turing.ac.uk) or call +44 (0)20 3862 3345.

## Eligibility

### Who is eligible for Fellowships?

Eligibility criteria are set out in the call documentation.

### Is it compulsory to hold a tenure/tenure-track academic post to be eligible?

Applicants must meet all the eligibility criteria, which includes holding a tenure or tenure-track academic post or equivalent at the host organisation (either currently or at the point of starting the fellowship).

### How should I decide whether to apply as a Fellow or Senior Fellow?

The personal specification in the call document has been created to assist applicants in judging which type of Fellowship they should apply for.

### Are these Fellowships open to applicants from the wider AI spectrum, for example humanities and social sciences?

The call has been kept deliberately broad to encourage talented individuals to apply from across disciplines and applications of AI. The panel will be looking for individuals who can demonstrate both research excellence and leadership in AI, and will then look at how relevant the research is in relation to the UK AI ecosystem.

### Are applications open to researchers who aren't experts in AI, but who are working in this area and would like to develop their skills?

The panel will be looking for individuals who can demonstrate both research excellence and leadership in AI.

### Are current Turing Fellows and Turing employees eligible to apply?

Turing Fellows and Turing employees who meet the criteria set out in the call documentation are eligible to apply for these AI Fellowships.

### Are post-doctoral researchers and PhD students eligible?

This call is for established mid-career and senior researchers and is not targeted to early career researchers or those working towards their PhD. The personal specification has been created to assist applicants in determining their eligibility.

## **Are there nationality restrictions?**

There are no nationality restrictions for this scheme and international applications are welcomed. However, the applicant must be hosted at a UK research organisation, whether already based at one or moving to one to take up the Fellowship.

Any offer made will be conditional upon, and subject to the successful candidates obtaining the right to live and work/study in the UK throughout the duration of their Fellowship.

## **Can I apply for relocation and visa costs?**

Applicants can include relocation costs in their package if relocating more than 50 miles. The package may include up to £2,000 per academic staff member to relocate the individuals being funded and their partner and/or dependents.

Visa costs may be claimed as part of this relocation package.

## **Can I undertake the Fellowship part-time?**

Applications for part-time Fellowships are welcomed, both from applicants who seek to work part-time solely on the Fellowship, and from those seeking to continue an existing appointment or commitment. The minimum time commitment for Fellowships is 0.5 FTE.

## **Can I continue to hold another position?**

Candidates may continue to hold another position. Fellows are required to spend a minimum of 0.5 FTE of their time on the Fellowship and may spend the remainder of their time on other activities including working in industry, teaching or collaborating on other projects and complementary research. Where Fellows spend less than 1 FTE of their time on the Turing AI Fellowship and related research, proportionate funding or support is expected to be supplied by any organisation to which they contribute.

## **Can applicants apply for a Turing Fellowship and a UKRI/Research Council or any other Fellowship simultaneously?**

Turing AI Fellowships are funded by the Department for Business, Energy and Industrial Strategy. At the time of starting the Fellowship the applicant should not be in receipt of funding that exceeds 1 FTE in total and is required to spend a minimum of 0.5 FTE of their time on the Turing AI Fellowship. Applicants may apply for a Turing AI Fellowship alongside other fellowship schemes, but only if the rules of the other fellowship scheme also permit this.

## **Timings**

### **How long are the Turing AI Fellowships?**

All AI Fellowships will be awarded for a maximum of 5 years. Applicants wishing to pursue a part-time Fellowship (with a minimum of 0.5 FTE) are welcomed, and if successful would be offered a part-time Fellowship for 5 years. Applications, whether full or part-time, for less than 5 years are welcomed, but will be assessed in terms of potential impact in the same way as full 5-year proposals.

## **When do the Fellowships begin?**

Candidates must be available to start the Fellowship to coincide with the start of the 2019-20 academic year. Ideally this will be October 2019, but no later than 31 December 2019.

## **Will there be future calls and who will they be open to?**

The call is supported through the investment for new Turing AI Fellowships announced as part of the [Autumn budget statement](#). We anticipate there being further Fellowship calls however details around eligibility, timeframe and frequency have yet to be confirmed.

## **If applicants are unsuccessful, will they be able to reapply in future calls?**

The guidance for future calls has yet to be confirmed.

## **Host Organisations**

### **Which organisations are eligible to be a host organisation?**

Fellows will normally be hosted by a UK organisation with significant ability to carry out research. This includes Higher Education Institutions, Independent Research Organisations, NHS bodies and Research Institutes. Details of eligible institutes are available on the [UKRI website](#).

UK universities that receive grant funding from one of the UK higher education funding bodies can be host organisations for the Fellowships, regardless of whether or not they are a partner university of the Turing.

### **Are there institutional limits in place?**

Each host organisation may submit a maximum of three applications in Stage 1 of the application. This limit is across both levels of the Fellowships, and the host organisation may split their applications across the levels as they choose.

### **How will host organisations select which applications they will support?/**

#### **How should I secure host organisation support?**

Each host organisation will run its own internal review/selection process to select their three applications. Applicants should contact the research services team, or equivalent, at their host organisation to ensure they are aware of the application and include it in their selection process. Applications that have not been considered through the host organisation's internal review/selection process will not normally be eligible.

Applicants will be required in the Flexigrant application form to indicate that they have approval from their research services (or equivalent) team, and to provide the name of their contact administering this process.

## **What level of support is the host organisation expected to commit?**

It is expected that the host organisation will support the Fellowship through a financial and/or appropriate in-kind contribution. Support should enhance the programme of research to deliver stronger impact and enhance support for academic retention. Financial or in-kind support from the host organisation will be considered at Stage 2 of assessment.

## **Host organisation letters of intent and support**

Fellowship applications must include a letter of intent (max 1 side A4) from the head of department, or equivalent, at the host organisation for Stage 1 of the process, and a full letter of support (max 2 sides of A4) for Stage 2.

All letters must be on headed paper, signed by the author and dated within 3 months of the application close date. All uploads must be in PDF format.

### **A letter of intent should include:**

- Confirmation that the host organisation supports the AI Fellowship initiative, the research proposal and the applicant (specific details of support will not be considered until stage 2).
- Confirmation that should the candidate progress to stage 2 the host organisation will provide a full letter of support.
- Confirmation of appointment (current or at the point at which the Fellowship will be taken up).

### **A letter of support should include:**

- Host organisational commitment to the field of AI that the research is to be undertaken in and how it aligns with the host organisation research strategy and priorities.
- A commitment to ensure the applicant will be released from duties to undertake the Fellowship at the FTE proposed (where applicable).
- Research qualities and capabilities of the hosting department of the applicant and how the Fellowship research proposal relates to the research strategy of that department.
- An assessment of the applicant's capabilities and achievements which makes them suitable for this Fellowship (please refer to the assessment criteria and personal specification).
- Confirmation of appointment (current or at the point at which the Fellowship will be taken up).
- Confirmation that on commencing the Fellowship the applicant will not hold Fellowships totalling more than 1 FTE.
- Details of any financial or in-kind support (the applicant will be required to include a full financial expenditure breakdown as part of their full application).
- By writing the letter of support, the host organisation confirms that the applicant fulfils the person specification and eligibility criteria and agrees to supporting the research if successful.

## **Are there institutional limits in place?**

Each host organisation may submit a maximum of three applications.

## **Can I apply from a non-UK organisation?**

Applications are welcomed from applicants currently based at a non-UK organisation; however only a UK organisation may be selected as a host organisation (see above) and will be required to support the application.

## **Is the Fellowship transferable?**

The Fellowship may be transferable to other eligible UK organisations (see above) subject to agreement from the Turing, any Research Partners, the current host organisation and the new host organisation. The Fellow must provide justification that there will be no adverse consequences to the research.

## **What interactions will I have with the Turing?**

All Fellows are encouraged to spend time at the Turing's London hub, to facilitate networking and engagement across the Turing's network and the broader AI community.

To access the Turing's London hub, which is based in the British Library, Fellows will need to undergo and must satisfactorily pass the Institute's security screening process which includes a Basic Disclosure and Barring Service check and is carried out by a third-party provider, Agenda Screening.

Please note, the screening process will include an option for candidates to self-disclose any previous criminal convictions, and possession of a criminal record will not necessarily preclude applicants from being awarded a Fellowship placement with the Institute.

Fellows will be required to report annually to the Turing on the progress of their Fellowship.

## **Partners and Collaborations**

### **Is it mandatory to have research (industry or government/third sector) partners?**

Having a research partner(s) is not compulsory. However, applicants are encouraged to find a suitable research partner(s) where relevant, to provide greater resource for their research and to maximise its impact. Financial or in kind support from the partner(s) will be considered at Stage 2 of assessment.

### **Will the Turing help me find suitable research partners?**

In cases where the research partner is essential for the proposal, the applicant is expected to provide the name(s) of the proposed partner(s) in Stage 1 of the application, with letters of support required in Stage 2.

The Turing will offer additional support in seeking out further research partners for all applicants who are successful in Stage 1 of the process. The Turing plans to go about this through hosting a webinar to allow applicants to pitch their proposed research to potential research partners who may be of interest.

Applicants will be responsible for taking further any connections and sourcing letters of support for Stage 2.

### **How should I indicate to the Turing that I would like help finding suitable research partners?**

In the application form there is the opportunity to consent to sharing some of their Outline Proposal information with potential research partners. Details of the information we would share can be found in the transparency notice.

### **Can I be employed by and/or based at my research partner?**

It is a requirement of the Fellowship that Fellows hold a tenure or tenure-track academic post at the host organisation, either currently or at the point of starting the Fellowship. However if the Fellowship is held on a part-time basis, the Fellow may also be employed by their research partner provided that they are proportionately paid or funded.

## **Funding and Resources**

### **How is the Fellowship funded?**

The Fellowship will pay 100% of direct costs. Indirect costs will be paid at the rate of £32,500 per 1 FTE, per annum.

### **What percentage salary can be paid from the Fellowship?**

The Fellowship can be used to cover 0.5-1FTE of the Fellow's salary.

The maximum salary contribution per annum from the Fellowship is:

Mid-career Fellow salary: £75,000 (+ on-costs)  
Senior Fellow salary: £110,000 (+ on-costs)

Salary top-ups from the host organisation or research partner are allowed.

All proposals will be assessed for their value for money, so salary contributions must be proportionate to the research impact anticipated and the applicant's experience.

### **What is the level of funding available for each Fellowship package?**

The average anticipated award per annum for up to five years:

Fellow: £267,420  
Senior Fellow: £441,960

All proposals will be assessed for their value for money, so funding proposals must be proportionate to the research impact anticipated and the applicant's experience.



## **If I take up the Fellowship on a part-time basis how do I cost the resources?**

The Fellow's direct and indirect costs should be calculated on a pro rata basis. All other resources can be calculated as if taking the Fellowship on a full time basis.

## **Does the cap for indirect costs cover all project staff to be costed in?**

The cap applies to all project staff, including PhDs and post-docs.

## **How should costings be submitted?**

Proposals should be costed fully at the outline stage although we only require applicants to indicate the scale of the programme they are proposing, total expected cost and amount requested for the Fellowship award (indicative costings).

Full financial expenditure breakdown is required as part of the full application and we expect that the total cost of the full proposal to be within 10% of that requested in the outline proposal.

Costing (indicative or full) should be submitted via [Flexigrant](#).

## **What resources can I include as part of the Fellowship?**

Funds can be used flexibly for the following:

- 0.5-1FTE Fellow salary contribution
- RA and Research Engineer salary and PhD student stipend/fees etc
- On-costs and overheads
- Travel and subsistence
- Equipment
- Consumables
- Research opportunities, eg pilot projects

### **Travel**

Please detail reasonable planned travel costs for the research team.

### **Consumables**

Please detail your planned spend for consumables and equipment. Please note that requests for large equipment should be discussed with your host organisation and adhere to procurement rules.

### **Research Engineering Team**

If a Research Engineer is necessary for the research, the costing breakdown will need to include their salary. Applicants may work with Research Engineers at their host institutes or elsewhere. One option available is the Turing's team of in-house Research Software Engineers and Research Data Scientists, known collectively as the Research Engineering Team <https://www.turing.ac.uk/research/research-programmes/research-engineering>.

The Research Engineering team contributes skills in research software engineering and data

science in support of other programmes, as well as to its own projects. This model of working ensures that the tools they develop are useful and applicable to a wider range of areas. The team supports professional delivery of impactful research across the Turing's programmes, as well as its own research interests.

You can choose to cost in the time of this group in your application. The rate for the Turing's Research Engineering Team is £67,605.66 per 1 FTE, per annum plus indirect costs of £32,500 per 1 FTE, per annum. Where you choose to include these costs, the funds will remain with the Turing and the Research Engineering Team will work with you to assign an appropriate member of the team.

All requests for Research Engineering Group time should be discussed in advance with Martin O'Reilly (Principal Research Software Engineer), on [moreilly@turing.ac.uk](mailto:moreilly@turing.ac.uk).

### **Can I budget for the cost of publication as part of the Fellowship?**

The funding for this Fellowship can't be used to cover the cost of publications. Applicants should use green route options where possible to publish their work, using their host organisations repository.

### **Can I include a co-investigator on the application?**

The package is not designed to fund co-investigators, and one should not be included on the application.

### **Is there a limit on how many post-docs/PhD students can be costed into the proposal?**

The Fellowships specifically don't have set limits on numbers, to allow individuals the flexibility to decide what resource they need. The budget for the Fellowships is up to £8.5 million and we are expecting to make 3-5 awards. Any mid-career package awarded will normally be less than the Senior Fellow package. The average anticipated awards per annum are given above.

## **Application process**

### **How do I apply?**

Applicants interested in the AI Fellowships are first required to submit an Outline Proposal. Applicants should apply through the Alan Turing Institute's [Flexigrant](#) application portal. Prior to starting an application, applicants will be required to register with the system.

Applicants must obtain the support of their host organisation at Stage 1 and a letter of intent must be submitted with the Outline Proposal.

Candidates who are successful at Stage 1 will be asked to submit a Full Proposal prior to attending a panel interview.

## What is the deadline for applications?

The deadline for application outlines is 23:00 on 29 March 2019 (GMT). For shortlisted candidates, the deadline for the full proposal is 9 June 2019.

The below table outlines the key dates:

<b>Stage 1:</b>	<b>Process</b>	<b>Deadline</b>
<b>Outline Proposal</b>	Applications Open	18 February 2019
	Applications Close	29 March 2019
	Shortlisted candidates notified	26 April 2019
<b>Stage 2: Full proposal</b>	Full Proposal Submission Due	9 June 2019
	Interviews	w/c 15 July 2019
<b>Offer</b>	Offers to successful candidates	w/c 22 July

## What documentation will I be required to submit?

Please find below a summary of what documentation will be required at each stage of the application process.

<b>Stage 1: Outline Proposal</b>	<b>Max sides of A4</b>	<b>Stage 2: Full Proposal</b>	<b>Max sides of A4</b>
Applicant CV	2	Case for Support	8
List of relevant research outputs (publications, software outputs, datasets)	1	Letter of Support from host organisation	2
Case for Support	4	Letter(s) of Support from confirmed research partners (optional)	2
Additional references related to the proposal	1		
Letter of intent from host organisation	1	Project Work Plan	2
Indicative costings (through the Flexigrant system)		Pathways to Impact Statement	2
		Full financial expenditure breakdown (through the Flexigrant system)	
		Justification of Resources	2
		Two references (candidates will be asked to invite referees through the Flexigrant system)	

## **Application guidance – Outline Proposal**

### **Letter of Intent from Host Organisation**

Please see guidance in 'Host Organisations' section

### **Applicant CV**

Your CV should outline your educational and employment history. Please include details of any gaps in your career. Please also include details of relevant training and professional development activities undertaken as well as any prizes or awards, membership of any professional bodies and any funding previously or currently held. You do not need to include contact details or details of referees.

### **List of research outputs**

Please provide a list of relevant research outputs (publications, software outputs, datasets) You may wish to highlight those most significant to your application.

### **Case for Support**

As part of the case for support you should address each of the criteria outlined in the call documentation.

### **Additional references**

Please upload details of any references highlighted in your case for support. You may upload up to 1 side of A4.

### **Additional notes:**

#### *Applicant track record*

As part of the case for support outline how your professional experience/track record demonstrates how you meet the personal specification. You should also include how the Fellowship would support your own professional development and future career plans. If relevant, please include details of any existing %FTE Fellowships you hold and how this award would enhance your existing research.

## **Application guidance – Full submission**

Guidelines for Stage 2: full application submission will be made available to successful candidates

### **How can I request adjustments to the application process?**

We will make reasonable adjustments for applicants who have a disability, to remove any substantial barriers their disability presents to their application, including to the assessment process. We encourage candidates to let us know about any reasonable adjustments they may require. You may already know what you would like to request, or you may like to have a general discussion of your options.

To discuss an adjustment to the application process please contact our team directly at [fellowship@turing.ac.uk](mailto:fellowship@turing.ac.uk) or on +44 (0)20 3862 3345. There is also a section on the application form where applicants may make us aware of individual circumstances.

Under the Equality Act 2010, a person is considered to have a disability 'if they have a physical or mental impairment, and the impairment has a **substantial** and **long-term** adverse effect on his or her ability to carry out normal day-to-day activities'. We will treat any information you disclose to us as sensitive and will handle it in line with the Data Protection Act 2018.

There are a number of opportunities to raise specific requirements:

- **In your application form**  
If there is information relevant to your application that we may need to consider when facilitating the peer review of your application please contact us to discuss. You can also include information in your application form. This information will not be shared with the panel peer reviewers. However, it is the applicant's responsibility to inform us of any personal circumstances which should be brought to the attention of the Turing or the panel.
- **In your invite to interview**  
If invited to interview, there is the opportunity to request adjustments, even if it was previously highlighted in the proposal or the proposal cover letter. If personal circumstances change, applicants should inform Turing at the earliest opportunity.
- **When asked to sit on a panel**  
Please contact us to inform them of any personal circumstances that may be relevant so that they can provide the necessary support.
- **When you are a reviewer**  
Contact the [fellowship@turing.ac.uk](mailto:fellowship@turing.ac.uk) to discuss the possibility of any mitigations, such as additional time to complete the review.

You can find out more information about how handle your personal data in our Transparency Notice which is available on our website and application form. Information will only be used to arrange reasonable adjustments and will not be used to assess your application.

### **How will diversity be monitored?**

All applicants will have the option of completing a monitoring form as part of the application. This will allow The Alan Turing Institute to report on its equality and diversity aims. The completion of this form is voluntary, but the information it contains helps us to better understand the composition of our organisation and examine our practices fully. The information you provide will be kept confidentially in line with our Transparency Notice and will not be used by us to make any unlawful decisions affecting you during the recruitment process. If you indicate a disability on this form, a member of our recruitment team may contact you to discuss reasonable adjustments we are able to offer. All personal information will be treated in accordance with the principles of the Data Protection Act (2018), and the data used for statistical monitoring will be anonymised and published in a way that does not allow individuals to be identified.

# Assessment process

## What is the assessment process?

### Stage 1: Outline Proposal

Outline proposals will be assessed by a shortlisting panel comprised of leaders in AI drawn from a broad range of academic disciplines.

Successful applications will be invited to Stage 2. All applicants will receive feedback following the completion of Stage 1 and applicants progressed to Stage 2 are encouraged to consider the feedback when preparing their full proposal.

### Stage 2: Full proposal

Applicants who are successful at Stage 1 will be invited to prepare and submit a Full Proposal. Full Proposal applications will be sent out for expert peer review. All shortlisted candidates will be invited to an interview at the Turing.

The interview will involve a 10-minute presentation from the candidate on their application, which will then be followed by 30 minutes of questions from the interview panel. Full details of the interview process including the format of the presentation will be sent to candidates selected for Stage 2.

## How will my application be assessed?

Fellowship applications will need to meet the eligibility and assessment criteria and personal specification set out in the in the call documentation.

## Who will sit on panels?

Throughout the assessment process panels will be constructed to ensure a fair and transparent assessment process.

Panels will be composed under the following guidelines:

- Panel members will be made up of independent internationally recognised leaders in AI which the Government's Office for AI and UKRI will help to identify. All panel members will be required to undergo unconscious bias training.
- Shortlisting and interview panels will contain individuals representing a wide range of disciplines within the remit of the call. Peer Reviewers will be recruited from a broad range of disciplines and allocated according to specialism.
- Shortlisting and interview panels will not contain any individuals employed by the Turing. Peer Reviewers may be Turing Fellows if they are appropriately expert in the project proposal field.
- Applications will not be reviewed by individuals employed by the host institution of the applicant.
- All panel members will be asked to declare any conflict of interest.